

FORM PTO-1449

U.S. DEPARTMENT OF COMMERCE  
PATENT AND TRADEMARK OFFICEATTY. DOCKET NO.  
GNE.2830P1C45APPLICATION NO.  
10/015,869INFORMATION DISCLOSURE STATEMENT  
BY APPLICANT

(USE SEVERAL SHEETS IF NECESSARY)

APPLICANT  
Baker et al.FILING DATE  
December 11, 2001GROUP  
Unknown

EXAMINER INITIAL	OTHER DOCUMENTS (INCLUDING AUTHOR, TITLE, DATE, PERTINENT PAGES, ETC.)
	1. BLASTN 3.2.1 (July 12 2001), NCBI, DNA 89816

W:\DOCS\DKJ\DKJ-1660.DOC  
091102

RECEIVED  
SEP 19 2002  
TECH CENTER 1600/2900

EXAMINER

Forn Hand

DATE CONSIDERED

12/18/05

\*EXAMINER: INITIAL IF CITATION CONSIDERED. WHETHER OR NOT CITATION IS IN CONFORMANCE WITH MPEP 609; DRAW LINE THROUGH CITATION IF NOT IN CONFORMANCE AND NOT CONSIDERED. INCLUDE COPY OF THIS FORM WITH NEXT COMMUNICATION TO APPLICANT.



FORM PTO-1449 U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE  INFORMATION DISCLOSURE STATEMENT BY APPLICANT  (USE SEVERAL SHEETS IF NECESSARY)	ATTY. DOCKET NO. GNE.2830P1C45	APPLICATION NO. 10/015,869
	APPLICANT Baker et al.	
	FILING DATE December 11, 2001	GROUP 1645

EXAMINER INITIAL	OTHER DOCUMENTS (INCLUDING AUTHOR, TITLE, DATE, PERTINENT PAGES, ETC.)
	1. BLASTP 2.2.1 (July-12-2001). NCBI; PRO 1293

W:\DOCS\DKJ\DKJ-1970.DOC  
110502

RECEIVED  
NOV 15 2002  
TECH CENTER 1600/2900

EXAMINER <i>[Signature]</i>	DATE CONSIDERED 12/18/05
*EXAMINER: INITIAL IF CITATION CONSIDERED, WHETHER OR NOT CITATION IS IN CONFORMANCE WITH MPEP 809; DRAW LINE THROUGH CITATION IF NOT IN CONFORMANCE AND NOT CONSIDERED, INCLUDE COPY OF THIS FORM WITH NEXT COMMUNICATION TO APPLICANT.	



Page 1 of 2

<b>INFORMATION DISCLOSURE STATEMENT</b>  PTO-1449			<b>ATTY. DOCKET NO.:</b> 39780-2830 P1C45		<b>SERIAL NO.:</b> 10/015,869		
			<b>APPLICANT :</b> Kevin P. BAKER, et al.				
			<b>FILING DATE:</b> December 11, 2001		<b>GROUP:</b> 1647		
<b>U.S. PATENT DOCUMENTS</b>							
<b>EXAMINER'S INITIALS</b>	<b>PATENT NO.</b>	<b>DATE</b>	<b>NAME</b>	<b>CLASS</b>	<b>SUBCLASS</b>	<b>FILING DATE</b>	
<b>FOREIGN PATENT DOCUMENTS</b>							
<b>EXAMINER'S INITIALS</b>	<b>PATENT NO.</b>	<b>DATE</b>	<b>COUNTRY</b>	<b>CLASS</b>	<b>SUBCLASS</b>	<b>TRANSLATION</b>	
						<b>YES</b>	<b>NO</b>
						<input type="checkbox"/>	<input type="checkbox"/>
						<input type="checkbox"/>	<input type="checkbox"/>
						<input type="checkbox"/>	<input type="checkbox"/>
<b>OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)</b>							
FH	Dayhoff, Accession No.: P_AAY99362, WO 200012708-A2, Pub Date: March 9, 2000, Baker, K., et al.						
	Dayhoff, Accession No.: P_AAB24031, WO 200053750-A1, Pub Date: Sep. 14, 2000, Botstein, D., et al.						
	Dayhoff, Accession No.: P_AAE06578, WO 200149728-A2, Pub Date: July 12, 2001, Kato, S., et al. ✓						
	Dayhoff, Accession No.: P_AAB36107, WO 200063438-A2, Pub Date: October 26, 2000, Gould-Rothberg, B.E., et al.						
	Dayhoff, Accession No.: CAC51152.1, WO 0149728-A, Pub Date: July 12, 2001, Kato, S., et al. ✓						
	Dayhoff, Accession No.: AAH06213.1, Direct Submission, Submitted: April 9, 2001, Strausberg, R., et al.						
	Dayhoff, Accession No.: AAH17312.1, Direct Submission, Submitted: November 5, 2001, Strausberg, R., et al.						
	Dayhoff, Accession No.: NP_115724.1, Glia 44 (3), pp 190-204, 2003, Yonezawa, T., et al.						
	Dayhoff, Accession No.: P_AAB92464, EP 1074617-A2, Pub Date: Feb. 7, 2001, Ota, T., et al.						
	Dayhoff, Accession No.: BAB55010.1, Direct Submission, Submitted: May 10, 2001, Isogai, T., et al.						
	GenBank, Accession No.: P_AAC58113, WO 200053750-A1, Pub Date: Sep. 14, 2000, Botstein, D., et al.						
	GenBank, Accession No.: P_AAA37044, WO 200012708-A2, Pub Date: March 9, 2000, Baker, K., et al.						
✓	GenBank, Accession No.: P_AAC76236, WO 200058473-A2, Pub Date: October 5, 2000, Shimkets, R.A., et al.						
<b>EXAMINER</b> fine hand			<b>DATE CONSIDERED</b> 12/07/04				

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.



Page 2 of 2

<b>INFORMATION DISCLOSURE STATEMENT</b>  PTO-1449	<b>ATTY. DOCKET NO.:</b> 39780-2830 P1C45	<b>SERIAL NO.:</b> 10/015,869
	<b>APPLICANT :</b> Kevin P. BAKER, et al.	
	<b>FILING DATE:</b> December 11, 2001	<b>GROUP:</b> 1647

**U.S. PATENT DOCUMENTS**

EXAMINER'S INITIALS	PATENT NO.	DATE	NAME	CLASS	SUBCLASS	FILING DATE

**FOREIGN PATENT DOCUMENTS**

EXAMINER'S INITIALS	PATENT NO.	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
						YES	NO
						<input type="checkbox"/>	<input type="checkbox"/>
						<input type="checkbox"/>	<input type="checkbox"/>
						<input type="checkbox"/>	<input type="checkbox"/>

**OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)**

FH	GenBank, Accession No.: BC006213, Direct Submission, Submitted: April 9, 2001, Strausberg, R., et al.
	GenBank, Accession No.: P_AAZ52368, WO 200021986-A2, Pub Date: April 20, 2000, Walker, M. G., et. al.
	GenBank, Accession No.: BC017312; Direct Submission, Submitted: November 5, 2001, Strausberg, R., et. al.
	GenBank, Accession No.: P_AAC67985, WO 200063438-A2, Pub Date: Oct. 26, 2000, Gould-Rothberg, B.E., et al.
	GenBank, Accession No.: P_AAD12573, WO 200149728-A2, Pub Date: July 12, 2001, Kato, S., et al.
	GenBank, Accession No.: AX191506.1, WO 0149728-A, Pub Date: July 12, 2001, Kato, S., et al.
	Hanna, J.S., et al., "HER-2/neu Breat Cancer Predictive Testing", Oathology Associates Medical Laboratores, August (1999).
	Hyman, Elizabeth, et al., "Impact of DNA Amplification on Gene Expression Patterns in Breast Cancer <sup>1,2</sup> ", <i>Cancer Research</i> 62 6240-6245, November (2002).
	Orntoft, Torben F., et al., "Genome-wide Study of Gene Copy Numbers, Transcripts, and Protein Levels in Pairs of Non-Invasive and Invasive Human Transitional Cell Carcinomas", <i>Molecular &amp; Cellular Proteomics</i> 1:37-45, (2002).
	Pollack, Jonathan R., et al., "Microarray analysis reveals a major direct role of DNA copy number alteration in the transcriptional program of human breast tumors", <i>PNAS</i> Vol.99, 20:12963-12968, October (2002).

<b>EXAMINER</b> <u>Paul H. ...</u>	<b>DATE CONSIDERED</b> <u>12/07/04</u>
------------------------------------	--

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.